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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/713,326

Patent No.: 7,192,849

Filing Date: 11/14/2003

Issue Date: 20 March 2007

Applicant: Fareed et al.

Docket No.: SETI-0009

Title: METHODS OF GROWING NITRIDE-BASED FILM USING VARYING PULSES

## COMMISSIONER FOR PATENTS

Via Facsimile to: 571-273-8300

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith is:

Payment of Deficiency of Fee in 2 pages  
Credit Card Payment Form in 1 page

for the above-referenced application.

- ☒ An additional fee of \$700 is required for payment of Small Entity fee in error.
- ☒ Please charge the amount of \$700 as indicated in the attached Credit Card Payment Form.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below.
  - ☒ Charge any additional fee required.
  - ☒ Credit any overpayment.

  
\_\_\_\_\_  
John W. LaBatt, Reg. No. 48,301

DATE: 28 March 2007

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

John W. LaBatt

  
\_\_\_\_\_  
Signature3/28/07  
\_\_\_\_\_  
Date

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Alexandria, VA 22313-1450

**Payment of Deficiency of Fee Due to Error in Small Entity Status and  
Notification of Loss of Entitlement to Small Entity Status**

Adjustment Date: 03/30/2007 HDEMESS2  
02/07/2007 INTERSW 00000440 10713326  
01 FC:2501 -700.00 OP

In the above-referenced patent application, Applicants are no longer entitled to Small Entity Status. At the time of filing, Applicants qualified for Small Entity status. However, at the time of payment of the Issue Fee, small entity status was established in good faith and fees as a small entity were paid in good faith, but the establishment of small entity status was in error.

In accordance with 37 CFR § 1.28(c)(2)(ii), the itemization of fees erroneously paid as a small entity are as follows:

Fee Type	Amount Paid	Date Paid	Current Fee Amount for a non-small entity	Deficiency Owed
Issue Fee - Utility	\$700	02/06/2007	\$1,400	\$700

The total deficiency payment owed is \$700.

Applicants have included herewith information to pay the deficiency amount by credit card.

The Commissioner is hereby authorized to charge any additional fee(s) that may be required to correct the error to Deposit Account No. 500999.

03/30/2007 HDEMESS2 00000019 10713326

01 FC:1501

1400.00 OP

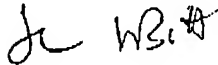
Serial No.: 10/713,326

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Applicants respectfully submit that the previously described error in determining small entity status occurred without deceptive intent to commit fraud on the patent office. Should the Office require anything further to correct the error in small entity status, the Office is invited to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,



John W. LaBatt, Reg. No. 48,301  
Hoffman, Warnick & D'Alessandro LLC  
75 State Street, 14th Floor  
Albany, NY 12207  
(518) 449-0044 - Telephone  
(518) 449-0047 - Facsimile

Dated: March 28, 2007